



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/678,040	10/03/2000	Jeffrey Marsden	99999.1

J. Benjamin Bai, Ph.D.  
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A Professional Corporation  
1100 Louisiana, Ste. 1800  
Houston, TX 77002-5214

## FORMALITIES LETTER



\*OC000000005600395\*

Date Mailed: 12/06/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

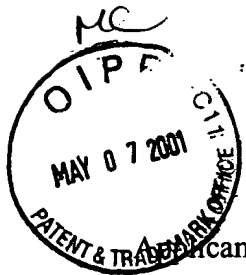
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/09/2001 00000081 09578040 130.00 CP  
02 FC:105  
05/16/2001 TW0111 00000004 100447 09578040  
01 FC:101 710.00 CH



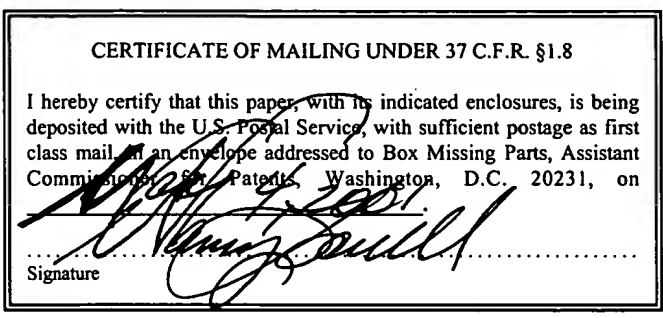
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PATENT APPLICATION  
Atty. Docket No. 50538.2USPT  
#21

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Marsden et al.  
Serial No.: 09/678,040  
Filed: October 3, 2000  
For: Peer Based Doctrine Performance Framework

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Group No.: 2163  
Examiner:

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231



Dear Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

Pursuant to the Notice to File Missing Parts of Application, *Filing Date Granted*, mailed December 6, 2000, Applicants file herewith the following documents:

- ☒ Copy of Notice To File Missing Parts of Application;
- ☒ Check for surcharge in the amount of \$130.00;
- ☒ Petition for Extension of Time and requisite fee of \$890.00;
- ☒ Executed Combined Declaration and Power of Attorney;
- ☒ Postcard.

The Assistant Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account 10-0447, reference 50538.2USPT(BAI).

Respectfully submitted,

JENKENS & GILCHRIST  
A Professional Corporation  
J. Benjamin Bai  
J. Benjamin Bai, Ph.D.  
Reg. No. 43,481

Date: 5/4/2001  
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